

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 08/31/18

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	1,697,722,544	7010
B. Securities (at market)		2,083,590,578	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		1,152,347,858	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		536,360,656	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	548,552,713)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,921,468,923	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		819,908	7045
Less: amount offset by customer owned securities	(	819,328)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	4,921,469,503	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		743,921,974	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		143,453,506	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,563,296,074	7100
B. Securities representing investments of customers' funds (at market)		750,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,940,137,071	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		139,695,201	7130
10. Exchange traded options			
A. Value of open long option contracts		536,360,656	7132
B. Value of open short option contracts	(	548,552,713)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		9,580,360	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,277,892,129	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	356,422,626	7190
15. Management Target Amount for Excess funds in segregation	\$	174,220,020	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	182,202,606	7198

SUPPLEMENT TO  
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STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,904,691,960	7315
B. Securities (at market)		196,793,305	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		47,990,252	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		115,605	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		(47,673)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,149,543,449	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	388,960	7351
Less: amount offset by customer owned securities	(	0)	7352
		388,960	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,149,932,409	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,149,932,409	7360

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STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	233,074,453	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		0	7520
	\$	233,074,453	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	100,969,661	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		0	7560
		100,969,661	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0			7630
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0			7690
A. Cash	\$	2,856,289,363	7700
B. Securities		115,823,644	7710
C. Unrealized gain (loss) on open futures contracts		47,990,252	7720
D. Value of long option contracts		115,605	7730
E. Value of short option contracts	(	(47,673)	7735
		3,020,171,191	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0			7750
		0	7760
7. Segregated funds on hand (describe: )			
		0	7765
8. Total funds in separate section 30.7 accounts			
	\$	3,354,215,305	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			
	\$	204,282,896	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts			
	\$	105,207,742	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			
	\$	99,075,154	7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
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STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 1,956,483,802	8500
B. Securities (at market)		1,321,552,541	8510
2. Net unrealized profit (loss) in open cleared swaps		1,121,983,736	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,400,020,079	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 20,272,862		8560
Less: amount offset by customer owned securities	(20,251,948)	20,914	8570 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 4,400,040,993	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 619,083,463	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		76,451,464	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		2,963,163,067	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,245,101,077	8650
9. Net settlement from (to) derivatives clearing organizations		(8,436,319)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 4,895,362,752	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 495,321,759	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 174,681,627	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 320,640,132	8770

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PART II

BROKER OR DEALER  
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FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	1,042,285,714	7010
B. Securities (at market)		2,207,305,263	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		1,560,043,716	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		492,999,003	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	557,830,023)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,744,803,673	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		8,031,894	7045
Less: amount offset by customer owned securities	(	8,029,967)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	1,927	7050
		4,744,805,600	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		560,349,690	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		98,422,133	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	2,368,192,606	7100
B. Securities representing investments of customers' funds (at market)		0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,108,883,130	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		15,594,717	7130
10. Exchange traded options			
A. Value of open long option contracts		492,999,003	7132
B. Value of open short option contracts	(	557,830,023)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		8,786,777	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,095,398,033	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	350,592,433	7190
15. Management Target Amount for Excess funds in segregation	\$	167,966,118	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	182,626,315	7198

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PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,650,258,831	7315
B. Securities (at market)		149,746,297	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		288,017,054	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		104,472	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		(69,648)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,088,057,006	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount			
	\$	1,423,828	7351
Less: amount offset by customer owned securities		( 722)	7352
		1,423,106	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,089,480,112	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,089,480,112	7360

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PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks		
A. Banks located in the United States	\$ 462,248,636	7500
B. Other banks qualified under Regulation 30.7		
Name(s): 0	7510	0 7520 \$ 462,248,636 7530
2. Securities		
A. In safekeeping with banks located in the United States	\$ 124,672,240	7540
B. In safekeeping with other banks qualified under Regulation 30.7		
Name(s): 0	7550	0 7560 124,672,240 7570
3. Equities with registered futures commission merchants		
A. Cash	\$ 0	7580
B. Securities	0	7590
C. Unrealized gain (loss) on open futures contracts	0	7600
D. Value of long option contracts	0	7610
E. Value of short option contracts	( 0)	7615 0 7620
4. Amounts held by clearing organizations of foreign boards of trade		
Name(s): 0	7630	
A. Cash	\$ 0	7640
B. Securities	0	7650
C. Amount due to (from) clearing organizations - daily variation	0	7660
D. Value of long option contracts	0	7670
E. Value of short option contracts	( 0)	7675 0 7680
5. Amounts held by members of foreign boards of trade		
Name(s): 0	7690	
A. Cash	\$ 2,332,870,100	7700
B. Securities	85,074,057	7710
C. Unrealized gain (loss) on open futures contracts	288,017,054	7720
D. Value of long option contracts	104,472	7730
E. Value of short option contracts	( 69,648)	7735 2,705,996,035 7740
6. Amounts with other depositories designated by a foreign board of trade		
Name(s): 0	7750	0 7760
7. Segregated funds on hand (describe: )		
		0 7765
8. Total funds in separate section 30.7 accounts	\$ 3,292,916,911	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		
	\$ 203,436,799	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		
	\$ 103,188,636	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		
	\$ 100,248,163	7785

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FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 2,130,929,598	8500
B. Securities (at market)		1,565,266,497	8510
2. Net unrealized profit (loss) in open cleared swaps		1,281,207,094	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 4,977,403,189	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 13,459,717		8560
Less: amount offset by customer owned securities	(13,345,731)	113,986	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 4,977,517,175	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 446,299,590	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		102,934,751	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,464,536,568	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,462,331,746	8650
9. Net settlement from (to) derivatives clearing organizations		13,245,151	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 5,489,347,806	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 511,830,631	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 197,607,432	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 314,223,199	8770



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SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	1,433,900,428	7010
B. Securities (at market)		2,000,816,931	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		923,313,826	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		680,873,764	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	678,475,893)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,360,429,056	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		51,896,888	7045
Less: amount offset by customer owned securities	(	51,666,666)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	230,222	7050
		4,360,659,278	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		574,256,747	7070
B. Securities representing investments of customers' funds (at market)		50,000,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		102,065,611	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,386,685,268	7100
B. Securities representing investments of customers' funds (at market)		600,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,898,751,322	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		88,692,126	7130
10. Exchange traded options			
A. Value of open long option contracts		680,873,764	7132
B. Value of open short option contracts	(	678,475,893)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		8,309,331	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		4,711,158,276	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	350,498,998	7190
15. Management Target Amount for Excess funds in segregation	\$	154,367,338	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	196,131,660	7198

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FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	3,146,599,719	7315
B. Securities (at market)		154,994,454	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		(254,039,134)	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		175,599	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		(158,786)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,047,571,852	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	808,768	7351
Less: amount offset by customer owned securities		(628,060)	7352
		180,708	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,047,752,560	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,047,752,560	7360

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PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	417,222,845	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		7510	7520
	\$	417,222,845	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	263,528,909	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		7550	7560
		263,528,909	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0		7630	
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0		7690	
A. Cash	\$	2,754,941,078	7700
B. Securities		66,465,546	7710
C. Unrealized gain (loss) on open futures contracts		(254,039,134)	7720
D. Value of long option contracts		175,599	7730
E. Value of short option contracts	(	(158,786)	7735
		2,567,384,303	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0		7750	7760
		0	7765
7. Segregated funds on hand (describe: )			0
		0	7765
8. Total funds in separate section 30.7 accounts		\$	3,248,136,057
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	200,383,497
		200,383,497	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	101,794,935
		101,794,935	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	98,588,562
		98,588,562	7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 10/31/18

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 2,095,886,220	8500
B. Securities (at market)		1,840,514,443	8510
2. Net unrealized profit (loss) in open cleared swaps		1,706,939,537	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,643,340,200	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 15,478,485		8560
Less: amount offset by customer owned securities	(15,465,340)	13,145	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,643,353,345	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 603,384,563	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		164,703,942	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,589,232,825	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,675,810,502	8650
9. Net settlement from (to) derivatives clearing organizations		114,514,121	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,147,645,953	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 504,292,608	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 224,041,128	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 280,251,480	8770

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 11/30/18

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	3,451,687,742	7010
B. Securities (at market)		1,981,003,560	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(1,070,943,322)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		1,186,630,120	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	1,252,316,921)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,296,061,179	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		47,514,217	7045
Less: amount offset by customer owned securities	(	47,205,510)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	308,707	7050
		4,296,369,886	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		457,839,993	7070
B. Securities representing investments of customers' funds (at market)		37,500,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		84,472,896	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,521,228,886	7100
B. Securities representing investments of customers' funds (at market)		725,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,896,530,665	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(19,550,356)	7130
10. Exchange traded options			
A. Value of open long option contracts		1,186,630,120	7132
B. Value of open short option contracts	(	1,252,316,921)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		8,659,856	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		4,645,995,139	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	349,625,253	7190
15. Management Target Amount for Excess funds in segregation	\$	157,676,775	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	191,948,478	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 11/30/18

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,825,984,416	
B. Securities (at market)		172,798,560	
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		(47,705,015)	
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		60,905	
B. Market value of open contracts granted (sold) on a foreign board of trade		(11,372)	
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	2,951,127,494	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	36,451	
Less: amount offset by customer owned securities	(	0)	
		36,451	
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	2,951,163,945	
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	2,951,163,945	

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 11/30/18

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	549,481,973	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		7510	7520
	\$	549,481,973	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	349,977,896	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		7550	7560
		349,977,896	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0		7630	
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0		7690	
A. Cash	\$	2,196,650,742	7700
B. Securities		102,820,664	7710
C. Unrealized gain (loss) on open futures contracts		(47,705,016)	7720
D. Value of long option contracts		60,905	7730
E. Value of short option contracts	(	(11,372)	7735
		2,251,815,923	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0		7750	7760
		0	7765
7. Segregated funds on hand (describe: )			0
8. Total funds in separate section 30.7 accounts		\$	3,151,275,792
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	200,111,847
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	98,568,876
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	101,542,971

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 11/30/18

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 1,733,163,220	8500
B. Securities (at market)		1,952,311,290	8510
2. Net unrealized profit (loss) in open cleared swaps		1,674,326,201	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,359,800,711	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 21,715,299		8560
Less: amount offset by customer owned securities	(16,780,207)	4,935,092	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,364,735,803	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 760,191,592	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		70,531,775	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,081,756,275	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,881,779,515	8650
9. Net settlement from (to) derivatives clearing organizations		(38,534,699)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 5,755,724,458	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 390,988,655	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 192,057,542	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 198,931,113	8770



SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 12/31/18

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	5,082,518,360	7010
B. Securities (at market)		1,412,260,945	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(1,820,546,012)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		578,623,737	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	542,487,335)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,710,369,695	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		33,112,299	7045
Less: amount offset by customer owned securities	(	32,557,370)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	4,710,924,624	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		160,999,808	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		61,408,382	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	3,454,937,223	7100
B. Securities representing investments of customers' funds (at market)		0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,350,852,565	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(8,390,774)	7130
10. Exchange traded options			
A. Value of open long option contracts		578,623,737	7132
B. Value of open short option contracts	(	542,487,335)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		5,698,624	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,061,642,230	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	350,717,606	7190
15. Management Target Amount for Excess funds in segregation	\$	172,890,934	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	177,826,672	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 12/31/18

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,731,002,669	
B. Securities (at market)		207,879,177	
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		(37,381,758)	
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	
B. Market value of open contracts granted (sold) on a foreign board of trade		0	
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	2,901,500,088	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	146,758	
Less: amount offset by customer owned securities		(245)	
		146,513	
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	2,901,646,601	
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	2,901,646,601	

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 12/31/18

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	977,386,968	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		7510	7520
	\$	977,386,968	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	185,416,836	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		7550	7560
		185,416,836	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0		7630	
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0		7690	
A. Cash	\$	1,898,958,421	7700
B. Securities		77,462,341	7710
C. Unrealized gain (loss) on open futures contracts		(37,381,758)	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	0	7735
		1,939,039,004	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0		7750	7760
7. Segregated funds on hand (describe: _____ )			0 7765
8. Total funds in separate section 30.7 accounts		\$	3,101,842,808 7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	200,196,207 7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	96,914,996 7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	103,281,211 7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 12/31/18

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 2,788,078,628	8500
B. Securities (at market)		2,095,021,752	8510
2. Net unrealized profit (loss) in open cleared swaps		1,095,694,303	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,978,794,683	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 43,671,556		8560
Less: amount offset by customer owned securities	(43,657,038)	14,518	8570 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,978,809,201	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,012,915,249	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		97,689,498	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,390,086,504	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,997,332,255	8650
9. Net settlement from (to) derivatives clearing organizations		(121,185,537)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,376,837,969	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 398,028,768	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 214,041,369	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 183,987,399	8770

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 01/31/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	3,420,773,525	7010
B. Securities (at market)		1,879,885,724	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(969,774,259)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		556,499,634	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	433,333,740)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,454,050,884	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		96,266,570	7045
Less: amount offset by customer owned securities	(	94,227,871)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	4,456,089,583	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		210,643,292	7070
B. Securities representing investments of customers' funds (at market)		55,000,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		75,049,981	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,841,124,792	7100
B. Securities representing investments of customers' funds (at market)		675,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		1,804,835,745	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		14,144,598	7130
10. Exchange traded options			
A. Value of open long option contracts		556,499,634	7132
B. Value of open short option contracts	(	433,333,740)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		7,448,753	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		4,806,413,055	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	350,323,472	7190
15. Management Target Amount for Excess funds in segregation	\$	163,538,488	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	186,784,984	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 01/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,510,165,746	
B. Securities (at market)		144,100,753	
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		398,991,878	
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	
B. Market value of open contracts granted (sold) on a foreign board of trade		0	
4. Net equity (deficit) (add lines 1, 2, and 3.)	\$	3,053,258,377	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	49,368	
Less: amount offset by customer owned securities	(	0)	
		49,368	
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,053,307,745	
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,053,307,745	

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 01/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	847,712,454	7500
B. Other banks qualified under Regulation 30.7			
Name(s):	0	7510	7520
			\$ 847,712,454 7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	60,757,490	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0	7550	7560
			60,757,490 7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
			0 7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0	7630	
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
			0 7680
5. Amounts held by members of foreign boards of trade			
Name(s):	0	7690	
A. Cash	\$	1,835,580,493	7700
B. Securities		113,343,262	7710
C. Unrealized gain (loss) on open futures contracts		398,991,878	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	0)	7735
			2,347,915,633 7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0	7750	7760
			0 7765
7. Segregated funds on hand (describe: _____ )			0 7765
8. Total funds in separate section 30.7 accounts		\$	3,256,385,577 7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	203,077,832 7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	101,980,479 7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	101,097,353 7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 01/31/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 2,553,928,613	8500
B. Securities (at market)		2,019,400,954	8510
2. Net unrealized profit (loss) in open cleared swaps		1,417,298,778	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,990,628,345	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 47,751,348		8560
Less: amount offset by customer owned securities	(47,733,878)	17,470	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,990,645,815	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,372,784,648	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		131,882,336	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,088,016,785	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,887,518,618	8650
9. Net settlement from (to) derivatives clearing organizations		(42,747,093)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,437,455,294	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 446,809,479	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 214,465,120	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 232,344,359	8770



SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 02/28/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	2,139,260,909	7010
B. Securities (at market)		2,047,696,553	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		672,243,198	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		474,932,723	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	401,182,930)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,932,950,453	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		23,147,679	7045
Less: amount offset by customer owned securities	(	21,225,964)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	1,921,715	7050
		4,934,872,168	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		295,516,869	7070
B. Securities representing investments of customers' funds (at market)		460,000,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		37,717,327	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,796,144,446	7100
B. Securities representing investments of customers' funds (at market)		675,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,009,979,226	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(18,091,123)	7130
10. Exchange traded options			
A. Value of open long option contracts		474,932,723	7132
B. Value of open short option contracts	(	401,182,930)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		7,125,475	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,337,142,013	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	402,269,845	7190
15. Management Target Amount for Excess funds in segregation	\$	320,766,691	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	81,503,154	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 02/28/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,251,258,042	7315
B. Securities (at market)		143,941,813	7317
		660,914,220	7325
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			
		660,914,220	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		0	7337
		0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)		\$ 3,056,114,075	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount			
	\$	146,097	7351
Less: amount offset by customer owned securities	(	1,567)	7352
		144,530	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)		\$ 3,056,258,605	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.		\$ 3,056,258,605	7360

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 02/28/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	688,112,066	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		0	7520
	\$	688,112,066	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	291,670,448	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		0	7560
		291,670,448	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0			7630
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0			7690
A. Cash	\$	1,498,552,800	7700
B. Securities		117,271,365	7710
C. Unrealized gain (loss) on open futures contracts		660,914,220	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	0)	7735
		2,276,738,385	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0			7750
		0	7760
7. Segregated funds on hand (describe: )			
		0	7765
8. Total funds in separate section 30.7 accounts		\$	3,256,520,899
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	200,262,294
			7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	102,079,037
			7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	98,183,257
			7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 02/28/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 2,641,393,508	8500
B. Securities (at market)		1,867,080,756	8510
2. Net unrealized profit (loss) in open cleared swaps		1,364,441,524	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,872,915,788	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 24,436,077		8560
Less: amount offset by customer owned securities	(24,429,407)	6,670	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,872,922,458	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,368,253,187	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		41,451,697	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,081,371,664	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,825,629,058	8650
9. Net settlement from (to) derivatives clearing organizations		(45,273,215)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,271,432,391	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 398,509,933	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 234,329,606	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 164,180,327	8770

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER BARCLAYS CAPITAL INC.	as of <u>03/31/19</u>
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STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	4,170,905,728	7010
B. Securities (at market)		2,169,709,051	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(1,293,324,249)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		740,001,921	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	564,426,985)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		5,222,865,466	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		32,754,799	7045
Less: amount offset by customer owned securities	(	32,402,450)	7047
6. Amount required to be segregated (add lines 4 and 5)		\$ 352,349	7050
		5,223,217,815	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		291,352,486	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		71,418,513	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	2,887,990,008	7100
B. Securities representing investments of customers' funds (at market)		0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,098,290,539	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		81,853,787	7130
10. Exchange traded options			
A. Value of open long option contracts		740,001,921	7132
B. Value of open short option contracts	(	564,426,985)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		6,137,057	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,612,617,326	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)		\$ 389,399,511	7190
15. Management Target Amount for Excess funds in segregation		\$ 339,509,158	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess		\$ 49,890,353	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 03/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,485,918,810	7315
B. Securities (at market)		124,854,776	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		525,732,792	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		(6,002)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,136,500,376	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	2,051,073	7351
Less: amount offset by customer owned securities		(2,000,762)	7352
		50,311	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,136,550,687	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,136,550,687	7360

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 03/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	714,938,727	7500
B. Other banks qualified under Regulation 30.7			
Name(s):	0	7510	7520
		0	\$ 714,938,727
2. Securities			
A. In safekeeping with banks located in the United States	\$	84,759,076	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	0	7550	7560
		0	84,759,076
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0	7615
			0
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	0	7630	
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0	7675
			0
5. Amounts held by members of foreign boards of trade			
Name(s):	0	7690	
A. Cash	\$	1,941,301,362	7700
B. Securities		70,095,700	7710
C. Unrealized gain (loss) on open futures contracts		525,732,792	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	(6,002)	7735
			2,537,123,852
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	0	7750	7760
			0
7. Segregated funds on hand (describe: _____ )			
			7765
			0
8. Total funds in separate section 30.7 accounts			
	\$	3,336,821,655	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			
	\$	200,270,968	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts			
	\$	104,760,793	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			
	\$	95,510,175	7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 03/31/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 3,185,987,529	8500
B. Securities (at market)		1,841,931,651	8510
2. Net unrealized profit (loss) in open cleared swaps		1,236,517,792	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 6,264,436,972	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 23,167,479		8560
Less: amount offset by customer owned securities	(23,093,548)	73,931	8570 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 6,264,510,903	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,206,347,175	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		44,987,587	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,573,347,800	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,796,944,064	8650
9. Net settlement from (to) derivatives clearing organizations		40,450,936	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,662,077,562	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 397,566,659	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 249,953,985	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 147,612,674	8770



SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 04/30/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	2,619,721,245	7010
B. Securities (at market)		2,535,233,605	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(145,462,781)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		746,459,235	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	501,542,863)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		5,254,408,441	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		141,608,351	7045
Less: amount offset by customer owned securities	(	140,319,985)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	1,288,366	7050
		5,255,696,807	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		556,263,771	7070
B. Securities representing investments of customers' funds (at market)		340,000,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		163,442,292	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,425,171,278	7100
B. Securities representing investments of customers' funds (at market)		600,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,371,791,315	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(18,229,035)	7130
10. Exchange traded options			
A. Value of open long option contracts		746,459,235	7132
B. Value of open short option contracts	(	501,542,863)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		4,937,979	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,688,293,972	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	432,597,165	7190
15. Management Target Amount for Excess funds in segregation	\$	341,620,292	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	90,976,873	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 04/30/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,210,059,041	7315
B. Securities (at market)		133,417,574	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		803,829,198	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		(11,666)	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,147,294,147	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	293,763	7351
Less: amount offset by customer owned securities	(	173,604)	7352
		120,159	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,147,414,306	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,147,414,306	7360

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 04/30/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	<u>525,393,424</u>	<u>7500</u>
B. Other banks qualified under Regulation 30.7			
Name(s):	<u>0</u>	<u>7510</u>	<u>7520</u>
			\$ <u>525,393,424</u> <u>7530</u>
2. Securities			
A. In safekeeping with banks located in the United States	\$	<u>92,768,720</u>	<u>7540</u>
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s):	<u>0</u>	<u>7550</u>	<u>7560</u>
			<u>92,768,720</u> <u>7570</u>
3. Equities with registered futures commission merchants			
A. Cash	\$	<u>0</u>	<u>7580</u>
B. Securities		<u>0</u>	<u>7590</u>
C. Unrealized gain (loss) on open futures contracts		<u>0</u>	<u>7600</u>
D. Value of long option contracts		<u>0</u>	<u>7610</u>
E. Value of short option contracts	(	<u>0</u>	<u>7615</u>
			<u>0</u> <u>7620</u>
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s):	<u>0</u>	<u>7630</u>	
A. Cash	\$	<u>0</u>	<u>7640</u>
B. Securities		<u>0</u>	<u>7650</u>
C. Amount due to (from) clearing organizations - daily variation		<u>0</u>	<u>7660</u>
D. Value of long option contracts		<u>0</u>	<u>7670</u>
E. Value of short option contracts	(	<u>0</u>	<u>7675</u>
			<u>0</u> <u>7680</u>
5. Amounts held by members of foreign boards of trade			
Name(s):	<u>0</u>	<u>7690</u>	
A. Cash	\$	<u>1,830,045,200</u>	<u>7700</u>
B. Securities		<u>95,648,854</u>	<u>7710</u>
C. Unrealized gain (loss) on open futures contracts		<u>803,829,198</u>	<u>7720</u>
D. Value of long option contracts		<u>0</u>	<u>7730</u>
E. Value of short option contracts	(	<u>(11,666)</u>	<u>7735</u>
			<u>2,729,511,586</u> <u>7740</u>
6. Amounts with other depositories designated by a foreign board of trade			
Name(s):	<u>0</u>	<u>7750</u>	<u>7760</u>
			<u>0</u> <u>7765</u>
7. Segregated funds on hand (describe: _____ )			
			<u>0</u> <u>7770</u>
8. Total funds in separate section 30.7 accounts			
	\$	<u>3,347,673,730</u>	<u>7775</u>
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			
	\$	<u>200,259,424</u>	<u>7380</u>
10. Management Target Amount for Excess funds in separate section 30.7 accounts			
	\$	<u>105,123,638</u>	<u>7780</u>
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			
	\$	<u>95,135,786</u>	<u>7785</u>

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 04/30/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 3,284,367,965	8500
B. Securities (at market)		1,730,386,945	8510
2. Net unrealized profit (loss) in open cleared swaps		937,442,852	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 5,952,197,762	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 18,205,167		8560
Less: amount offset by customer owned securities	(18,170,502)	34,665	8570 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 5,952,232,427	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,373,460,364	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		41,698,337	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,293,501,004	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,688,688,609	8650
9. Net settlement from (to) derivatives clearing organizations		(47,050,272)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,350,298,042	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 398,065,615	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 237,494,074	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 160,571,541	8770

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 05/31/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	3,322,014,248	7010
B. Securities (at market)		3,114,440,283	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(1,542,973,704)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		2,219,915,048	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	2,639,693,888)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		4,473,701,987	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		1,155,932,731	7045
Less: amount offset by customer owned securities	(	1,149,157,686)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	6,775,045	7050
		4,480,477,032	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		729,255,918	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		83,174,564	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,068,320,867	7100
B. Securities representing investments of customers' funds (at market)		750,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		3,031,265,721	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(299,273,822)	7130
10. Exchange traded options			
A. Value of open long option contracts		2,219,915,048	7132
B. Value of open short option contracts	(	2,639,693,888)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		9,965,373	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		4,952,929,781	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	472,452,749	7190
15. Management Target Amount for Excess funds in segregation	\$	250,010,618	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	222,442,131	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 05/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,643,733,775	
B. Securities (at market)		359,262,796	
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		259,062,105	
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	
B. Market value of open contracts granted (sold) on a foreign board of trade		(736)	
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,262,057,940	
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	94,930,319	
Less: amount offset by customer owned securities		(94,476,804)	
		453,515	
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,262,511,455	
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,262,511,455	

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 05/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	590,506,288	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		0	7520
	\$	590,506,288	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	510,767,940	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		0	7560
		510,767,940	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0			7630
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0			7690
A. Cash	\$	1,954,083,919	7700
B. Securities		148,494,864	7710
C. Unrealized gain (loss) on open futures contracts		259,062,105	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	(736)	7735
		2,361,640,152	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0			7750
		0	7760
7. Segregated funds on hand (describe: )			
		0	7765
8. Total funds in separate section 30.7 accounts			
	\$	3,462,914,380	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			
	\$	200,402,925	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts			
	\$	108,967,883	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			
	\$	91,435,042	7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 05/31/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 3,762,240,107	8500
B. Securities (at market)		1,944,780,742	8510
2. Net unrealized profit (loss) in open cleared swaps		554,877,466	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		(0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 6,261,898,315	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 88,306,079		8560
Less: amount offset by customer owned securities	(86,298,884)	2,007,195	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 6,263,905,510	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,374,274,953	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		34,970,195	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,416,919,570	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,909,810,547	8650
9. Net settlement from (to) derivatives clearing organizations		(75,285,760)	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		(0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,660,689,505	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 396,783,995	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 243,665,924	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 153,118,071	8770



SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 06/30/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	4,305,652,349	7010
B. Securities (at market)		3,096,492,872	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(1,713,657,402)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		2,164,724,491	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	2,495,979,833)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		5,357,232,477	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		423,238,435	7045
Less: amount offset by customer owned securities	(	423,141,243)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	97,192	7050
		5,357,329,669	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		63,410,311	7070
B. Securities representing investments of customers' funds (at market)		0	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		130,018,604	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	2,890,310,800	7100
B. Securities representing investments of customers' funds (at market)		0	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,966,474,269	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		(18,815,107)	7130
10. Exchange traded options			
A. Value of open long option contracts		2,164,724,491	7132
B. Value of open short option contracts	(	2,495,979,833)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		9,518,221	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,709,661,756	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	352,332,087	7190
15. Management Target Amount for Excess funds in segregation	\$	298,938,996	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	53,393,091	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 06/30/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,921,450,791	7315
B. Securities (at market)		348,930,443	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		357,138,579	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,627,519,813	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$	4,383,596	7351
Less: amount offset by customer owned securities	(	3,614,736)	7352
		768,860	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,628,288,673	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,628,288,673	7360

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 06/30/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	742,920,311	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		0	7520
	\$	742,920,311	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	229,652,262	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		0	7560
		229,652,262	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0			7630
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0			7690
A. Cash	\$	2,377,531,142	7700
B. Securities		124,278,183	7710
C. Unrealized gain (loss) on open futures contracts		357,138,579	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	0)	7735
		2,858,947,904	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0			7750
		0	7760
7. Segregated funds on hand (describe: )			
		0	7765
8. Total funds in separate section 30.7 accounts			
	\$	3,831,520,477	7770
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)			
	\$	203,231,804	7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts			
	\$	121,184,842	7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target			
	\$	82,046,962	7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 06/30/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 4,440,708,352	8500
B. Securities (at market)		1,953,139,027	8510
2. Net unrealized profit (loss) in open cleared swaps		128,950,336	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		( 0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 6,522,797,715	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 17,006,548		8560
Less: amount offset by customer owned securities	( 16,970,989)		8570
		35,559	8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 6,522,833,274	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 977,785,918	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		50,509,992	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		3,975,757,384	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,902,629,035	8650
9. Net settlement from (to) derivatives clearing organizations		24,755,659	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		( 0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 6,931,437,988	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 408,604,714	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 253,738,214	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 154,866,500	8770

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 07/31/19

STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION  
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES

SEGREGATION REQUIREMENTS (Section 4d(2) of the CEAct)

1. Net ledger balance			
A. Cash	\$	3,032,275,364	7010
B. Securities (at market)		3,172,334,072	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		(457,739,345)	7030
3. Exchange traded options			
A. Add market value of open option contracts purchased on a contract market		1,436,363,389	7032
B. Deduct market value of open option contracts granted (sold) on a contract market	(	1,766,503,552)	7033
4. Net equity (deficit) (add lines 1, 2, and 3)		5,416,729,928	7040
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount		597,421,308	7045
Less: amount offset by customer owned securities	(	594,947,713)	7047
6. Amount required to be segregated (add lines 4 and 5)	\$	2,473,595	7050
		5,419,203,523	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		741,792,370	7070
B. Securities representing investments of customers' funds (at market)		60,000,000	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		177,411,621	7090
8. Margins on deposit with derivatives clearing organizations of contract markets			
A. Cash	\$	1,498,596,668	7100
B. Securities representing investments of customers' funds (at market)		600,000,000	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		2,994,922,452	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		38,394,887	7130
10. Exchange traded options			
A. Value of open long option contracts		1,436,363,389	7132
B. Value of open short option contracts	(	1,766,503,552)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		9,314,833	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe: _____)		0	7150
13. Total amount in segregation (add lines 7 through 12)		5,790,292,668	7180
14. Excess (deficiency) funds in segregation (subtract line 6 from line 13)	\$	371,089,145	7190
15. Management Target Amount for Excess funds in segregation	\$	302,391,557	7194
16. Excess (deficiency) funds in segregation over (under) Management Target Amount Excess	\$	68,697,588	7198

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 07/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder	\$	0	7305
1. Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers			
A. Cash	\$	2,686,654,235	7315
B. Securities (at market)		322,306,077	7317
2. Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		768,420,098	7325
3. Exchange traded options			
A. Market value of open option contracts purchased on a foreign board of trade		0	7335
B. Market value of open contracts granted (sold) on a foreign board of trade		0	7337
4. Net equity (deficit) (add lines 1. 2. and 3.)	\$	3,777,380,410	7345
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount			
	\$	20,662,521	7351
Less: amount offset by customer owned securities	(	18,061,669)	7352
		2,600,852	7354
6. Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5)	\$	3,779,981,262	7355
7. Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.	\$	3,779,981,262	7360

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 07/31/19

STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS  
PURSUANT TO COMMISSION REGULATION 30.7

FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS

1. Cash in banks			
A. Banks located in the United States	\$	806,923,013	7500
B. Other banks qualified under Regulation 30.7			
Name(s): 0		0	7520
	\$	806,923,013	7530
2. Securities			
A. In safekeeping with banks located in the United States	\$	266,470,053	7540
B. In safekeeping with other banks qualified under Regulation 30.7			
Name(s): 0		0	7560
		266,470,053	7570
3. Equities with registered futures commission merchants			
A. Cash	\$	0	7580
B. Securities		0	7590
C. Unrealized gain (loss) on open futures contracts		0	7600
D. Value of long option contracts		0	7610
E. Value of short option contracts	(	0)	7615
		0	7620
4. Amounts held by clearing organizations of foreign boards of trade			
Name(s): 0			7630
A. Cash	\$	0	7640
B. Securities		0	7650
C. Amount due to (from) clearing organizations - daily variation		0	7660
D. Value of long option contracts		0	7670
E. Value of short option contracts	(	0)	7675
		0	7680
5. Amounts held by members of foreign boards of trade			
Name(s): 0			7690
A. Cash	\$	2,030,949,597	7700
B. Securities		105,730,943	7710
C. Unrealized gain (loss) on open futures contracts		768,420,098	7720
D. Value of long option contracts		0	7730
E. Value of short option contracts	(	0)	7735
		2,905,100,638	7740
6. Amounts with other depositories designated by a foreign board of trade			
Name(s): 0			7750
		0	7760
7. Segregated funds on hand (describe: _____ )			
		0	7765
8. Total funds in separate section 30.7 accounts		\$	3,978,493,704
9. Excess (deficiency) set Aside Funds for Secured Amount (subtract Line 7 Secured Statement page T10-3 from Line 8)		\$	198,512,442
			7380
10. Management Target Amount for Excess funds in separate section 30.7 accounts		\$	126,251,374
			7780
11. Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target		\$	72,261,068
			7785

SUPPLEMENT TO  
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT  
PART II

BROKER OR DEALER  
BARCLAYS CAPITAL INC.

as of 07/31/19

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 4,670,585,217	8500
B. Securities (at market)		2,113,290,085	8510
2. Net unrealized profit (loss) in open cleared swaps		565,011,912	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		( 0)	8540
4. Net equity (deficit) (add lines 1, 2, and 3)		\$ 7,348,887,214	8550
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 42,855,441		8560
Less: amount offset by customer owned securities	( 42,815,071)	40,370	8570
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)		\$ 7,348,927,584	8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 1,446,694,692	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		121,140,943	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		4,240,877,374	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		1,992,149,142	8650
9. Net settlement from (to) derivatives clearing organizations		28,509,806	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		( 0)	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe: _____ )		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		\$ 7,829,371,957	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 480,444,373	8730
15. Management Target Amount for Excess funds in cleared swaps segregated accounts		\$ 285,873,283	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) Management Target Excess		\$ 194,571,090	8770